



March 2021

PRIVACY POLICY

Dear Merrion Customer:

In June 2000, the Securities and Exchange Committee (SEC) instituted Regulation S-P ("Reg S-P"), which is intended to protect the privacy of consumer financial information. In order to comply with Reg S-P, broker-dealers and registered investment advisors must establish specific privacy policies and practices, and notify customers of those policies and practices.

Consequently, Merrion has reviewed its own privacy procedures, and as a valued customer of our firm, wants to inform you of the following:

- The non-public information that Merrion collects about its customers (primarily from account application forms) is not disclosed to anyone, except as permitted by law and as required to process customer transactions.
- Merrion has implemented safeguards to protect all non-public information. Moreover, the firm limits access to only those employees and affiliates that require the information to provide Merrion customers with excellent service.
- If, in the future, Merrion did elect to share customer information with certain third-party non-affiliates, Merrion's customers would have the right to require that Merrion not share their non-public information.
- If a customer's relationship with Merrion ends, the firm will continue to handle any non-public information using the same procedures outlined above.

Should you have further questions regarding Reg S-P or Merrion's Privacy Policy, please do not hesitate to contact us at 908-654-0033.

This notice is being provided on behalf of the following Merrion companies:

- *Merrion Securities, LLC*
- *Merrion Investment Management Company, LLC*